



PATENT  
2543-1-020

Receipt  
#6

3/25/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : Robert Amess *et al*  
SERIAL NO. : 09/936,408  
FILED : January 8, 2002  
FOR : PROTEINS FOR DIAGNOSIS AND TREATMENT OF  
BREAST CANCER

COPY OF PAPERS  
ORIGINALLY FILED

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on February 6, 2002.

David A. Jackson, Reg. #26,742  
(Name of Registered Representative)

Leis A. Shure 2/6/02  
(Signature and Date)

REQUEST FOR CORRECTED FILING RECEIPT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Attention: Office of Initial Patent Examination's  
Customer Service Center

Dear Sir:

Applicants hereby request that a corrected Formal Filing Receipt (PTO-103X) be issued to correct the spelling of the fourth listed co-inventor in the above-identified Application.

Please correct the spelling of the inventor "Robert Reid Townsen" to read

--Robert Reid Townsend--

as it appears on the Declaration and Power of Attorney, copy of which is enclosed.

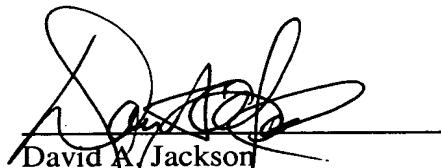
A copy of the filing receipt with the requested correction marked in red is also enclosed.

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As these errors were on the part of the Patent Office, no fees are believed to be due.

Applicants accordingly request that the records of the U.S. Patent and Trademark Office be corrected to reflect the correct spelling of the title.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David A. Jackson", is written over a horizontal line.

David A. Jackson  
Attorney for Applicant  
Registration No. 26,742

KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack, NJ 07601  
(201) 487-5800  
Enclosures



COPY

Attorney Docket No.: 2543-1-020

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below under my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**PROTEINS FOR DIAGNOSIS AND TREATMENT OF BREAST CANCER**

the Specification of which

☐ is attached hereto

☒ was filed on March 13, 2000

as International Application No. PCT/GB00/00908, and filed at the U.S. Patent and Trademark Office on September 12, 2001.

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I hereby state that I have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| <u>APPLICATION<br/>NUMBER</u> | <u>PRIOR FOREIGN FILED APPLICATION(S)<br/>COUNTRY (MONTH/DAY/YYYY)</u> | <u>PRIORITY<br/>CLAIMED</u> |
|-------------------------------|--|-----------------------------|
| 9905817.4                     | Great Britain March 12, 1999   | YES                         |

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I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER(S)

FILING DATE (MM/DD/YYYY)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| <u>U.S. Parent<br/>Application No.</u> | <u>PCT Parent<br/>Number</u> | <u>Parent Filing<br/>(MM/DD/YYYY)</u> | <u>Parent Patent<br/>Number (if applicable)</u> |
|--|------------------------------|---------------------------------------|---|
|--|------------------------------|---------------------------------------|---|

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from **Oxford GlycoSciences (UK) Ltd** as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

I hereby appoint as my attorneys or agents the registered persons identified under

**Customer No. 23565**

for the law firm of Klauber & Jackson, said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence regarding this application to **Customer No. 23565**.

DAVID A. JACKSON, ESQ.  
KLAUBER & JACKSON  
411 HACKENSACK AVENUE  
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST OR SOLE INVENTOR: Robert Amess

COUNTRY OF CITIZENSHIP: United Kingdom

FULL RESIDENCE ADDRESS: Cumnor, Oxon, United Kingdom

FULL POST OFFICE ADDRESS: The Forum  
86 Milton Park  
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United Kingdom

SIGNATURE OF INVENTOR 

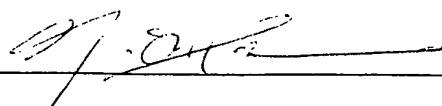
DATE 31/10/01

FULL NAME OF SECOND JOINT INVENTOR: Michael John O'Hare

COUNTRY OF CITIZENSHIP: United Kingdom

FULL RESIDENCE ADDRESS: London, United Kingdom

FULL POST OFFICE ADDRESS: Ludwig Institute for Cancer Research  
91 Riding House Street  
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United Kingdom

SIGNATURE OF INVENTOR 

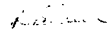
DATE 2nd Oct 2001

FULL NAME OF THIRD JOINT INVENTOR: Rajesh Bhikhu Parekh

COUNTRY OF CITIZENSHIP: United Kingdom

FULL RESIDENCE ADDRESS: Nr. Wendlebury, Oxon, United Kingdom

FULL POST OFFICE ADDRESS: The Forum  
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United Kingdom

SIGNATURE OF INVENTOR 

DATE October 19 2001

FULL NAME OF FOURTH JOINT INVENTOR: Robert Reid 

COUNTRY OF CITIZENSHIP: United States

FULL RESIDENCE ADDRESS: Oxford, Oxon, United Kingdom

FULL POST OFFICE ADDRESS: The Forum  
86 Milton Park  
Abingdon, Oxon OX14 4RY  
United Kingdom

SIGNATURE OF INVENTOR 

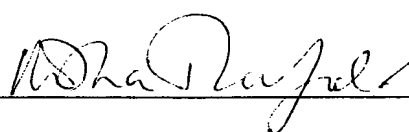
DATE 15 OCT 01

FULL NAME OF FIFTH JOINT INVENTOR: Michael Derek Waterfield

COUNTRY OF CITIZENSHIP: United Kingdom

FULL RESIDENCE ADDRESS: London, United Kingdom

FULL POST OFFICE ADDRESS: Ludwig Institute for Cancer Research  
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SIGNATURE OF INVENTOR 

DATE 3/10/07



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/936,408         | 01/08/2002  | 1645         | 1590          | 2543-1-020      |          | 23         | 6          |

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CONFIRMATION NO. 3867

## FILING RECEIPT



\*OC000000007358037\*

Date Mailed: 01/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Robert Amess, Cumnor, UNITED KINGDOM;  
Michael John O'Hare, London, UNITED KINGDOM;  
Rajesh Bhikhu Parekh, Nr. Wendlebury, UNITED KINGDOM;  
Robert Reid, Oxford, UNITED KINGDOM;  
Michael Derek Waterfield, London, UNITED KINGDOM;

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## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/GB00/00908 03/13/2000

## Foreign Applications

UNITED KINGDOM 9905817.4 03/12/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

## Title

Proteins for diagnosis and treatment of breast cancer

Preliminary Class



435

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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